



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Auerbach

**CONFIRMATION NO.:** 

8755

**SERIAL NO.:** 

10/759,644

**GROUP NO.:** 

1637

FILING DATE:

January 16, 2004

**EXAMINER:** 

K. Horlick

TITLE:

Kits for Rolling Circle Amplification (as amended)

# CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 11<sup>th</sup> day of January, 2007.

Mary E. Higdon

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### Submitted herewith is/are:

- 1. Transmittal Form (1 pg.);
- 2. Fee Transmittal Form (1 pg.);
- 3. Second Supplemental Information Disclosure Statement (2 pgs.);
- 4. Form PTO-1449 (1 pg.);
- 5. Copy of IDS Citation B20;
- 6. Check in the amount of \$180.00; and
- 7. Return Receipt Postcard.

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3	Application Se	erial Number 10	10/759,644				
AN 1.6 2007	Filing Date	Jar	January 16, 2004				
AII	First Named In	nventor Au	Auerbach				
KANSMITTA	Group Art Uni	it 16:	1637				
	Examiner Nan	ne K.	K. Horlick				
FORM	Attorney Dock	ket No. IN	INL-083CP6C5				
	Patent No.	No	ot applicable				
	Issue Date	No	Not applicable				
	ENCLOSURES (che	eck all that apply)					
Fee Transmittal Form	Copy of Notice to Fi Application		Notice of Appeal to Board of Patent Appeals and Interferences				
☐ Check Attached☐ Copy of Fee Transmittal Form	☐ Formal Drawing(s)		Appeal Brief (in triplicate)				
☐ Amendment/Response	Request For Continu (RCE) Transmittal	ed Examination	Status Inquiry				
Preliminary After Final Affidavits/declaration(s)	☐ Power of Attorney		Return Receipt Postcard				
☐ Letter to Official  Draftsperson  including Drawings	(Revocation of Prior	Powers)	Certificate of First Class Mailing under 37 C.F.R. 1.8				
[Total Sheets]	☐ Terminal Disclaimer		Certificate of Facsimile Transmission under 37 C.F.R. 1.8				
☐ Petition for Extension of Time	Executed Declaration Attorney for Utility of Application		Additional Enclosure(s) (please identify below)				
<ul> <li>✓ Second Supplemental</li> <li>Information Disclosure Statement</li> <li>✓ Form PTO-1449</li> </ul>	Small Entity Stateme	ent					
Copy of IDS Citation B20	CD(s) for large table program	or computer					
Certified Copy of Priority Document(s)	Amendment After Al	llowance					
☐ Sequence Listing submission ☐ Paper Copy/CD ☐ Computer Readable Copy ☐ Statement verifying identity of above	Request for Certifica  Certificate of Conduction duplicate)						
CORRESPONDENCE ADDRESS		SIGNATURE BLOCK					
Kirkpatric Gates Ell State Stree One Linco Boston, M Tel. No.: (	t Financial Center In Street	Respectfully submitted,  Date: January 11, 2007 Reg. No. 51,551 Tel. No.: (617) 261-3198 Fax No.: (617) 261-3175  Fangli Chen, Ph.D. Attorney for Applicant(s) Kirkpatrick & Lockhart Preston Gates Ellis LLP State Street Financial Center					

State Street Financial Center One Lincoln Street Boston, MA 02111-2950

# FY 2007

JAN 1'6 2007

	Complete if Known
Application Serial Number	10/759,644
Filing Date	January 16, 2004
First Named Inventor	Auerbach
Group Art Unit	1637
Examiner Name	K. Horlick
Attorney Docket No.	INL-083CP6C5

JAN 1 0 100	Examiner			K. Horlick					
			Docket No. INL-083CP6C5						
<b>7.</b>	METHOD OF PAYMENT		FEE CALCULATION (continued)						
ment Enclosed:				3. ADDITIONAL FEES					
$\boxtimes$	Check  Money Order  Other		Large	Small					
			Entity	Entity					
	Commissioner is hereby authorized to cre		Fee	Fee	Fee Description	Fee Paid			
	arge any fee indicated below for this sub	mission to	(S)	(\$)					
Depo	sit Account No. 50-1721.								
	Required Fees (copy of this sheet enclo		130	65	Surcharge - late filing fee or oath				
$\boxtimes$	Additional fee required under 37 CFR I	1.16 and	50	25	Surcharge - late provisional filing fee				
	1.17.				or cover shect				
$\boxtimes$	Overpayment Credit.		130	130	Non-English specification				
3.	olicant claims small entity status.		2,520	2,520	Request for ex parte reexamination				
	FEE CALCULATION		120	60	Extension for reply within first month				
1. FILING/SI	EARCH/EXAM/SIZE FEES		450	225	Extension for reply within second				
					month				
Large Entity	,		1020	510	Extension for reply within third month				
Fee (\$)	Fee Description F	ee Paid	1590	795	Extension for reply within fourth				
					month				
200		·	2160	1080	Extension for reply within fifth month				
300	Utility filing fee		500	250	Notice of Appeal				
500	Utility search fee		500	250	Filing a brief in support of an appeal				
200	Utility exam fee		1000	500	Request for oral hearing				
250	Utility size fee (each add'l 50 pgs. over 100)	•	400	400	Petitions to the Commissioner (Gp. I)				
200	Design filing fee		200	200	Petitions to the Commissioner (Gp. II)				
100 130	Design search fee Design exam fee		130 180	130 180	Petitions to the Commissioner (Gp. III) Submission of Second Supplemental				
250	Design size fee (each add'l 50 pgs. over 100)		100	100	Information Disclosure Statement	180.00			
230	Design size fee (each add 150 pgs. over 100)		790	395	Filing a submission after final	180.00			
			'90	393	rejection (37 CFR 1.129(a))				
	Number Number Rate	Amount	790	395	For each additional invention to be				
	Filed Extra	Milouin	/50	373	examined (37 CFR 1.129(b))				
Total Claims	- 20 = x \$ 50.00 =		100	100	Certificate of Correction for				
					applicant's error				
Independent			130	65	Submission of Terminal Disclaimer				
· Claims	-3 = x \$200.00 =		Other fee (	Specify)					
_			Other fee (	Specify)					
☐ Multiple Depe	endent Claim(s), if any \$360.00 =								
	TOTAL:								
	SMALL ENTITY DISCOUNT: SUBTOTAL (1) (\$)	.00							
2 AMENIDM	ENT CLAIM FEES	.00							
2. AIVIENDIVI	Highest No. Present Rate	Fee Paid	SUBTOTAL (3) (\$) 180.00						
Remainin	-	recraid	SUBTOTAL (3) (\$\) 180.00						
After Amer			<del></del>						
Total	- = x \$50.00 =				SUBTOTAL (1)	.00			
Indep.	- = $x $200.00 =$				SUBTOTAL (2)	.00			
	ntation of Multiple Dep. Claim + \$360.00 =				SUBTOTAL (3)	180.00			
_	TOTAL:	(\$)			202101110(0)				
SMALL ENTITY DISCOUNT: (\$)									
SUBTOTAL (2) (\$) .00				TOTAL (\$) 180.00					
	SIGNATURE BLOCK								
CORRESPONDENCE ADDRESS  Direct all correspondence to:					Respectfully submitted,				
	Date: January 11, 2007								
	Reg. No.: 5		Fangy Chen, Ph.D.	<del></del>					
	Tel. No.: (6			(s)					
	Fax No.: (6								
	1 4.7.10 (0	, 201	Gates Ellis LLP	-31011					
	State Street Financial Center								
	One Lincoln Street								
	Tel. No.: (617) 261-3100 Fax No.: (617) 261-3175				Boston, MA 02111-2950				



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TITLE:

Kits for Rolling Circle Amplification (as amended)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicant hereby makes of record the patents listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. A copy of the non-U.S. patent document is enclosed.

## **REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

	(1)	within three (3) months of the <b>filing date</b> of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the <b>date of entry of the national stage</b> as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the <b>first Office action</b> on the merits, or before the mailing of a <b>first Office action</b> after the filing of a request for continued examination under 37 C.F.R. 1.114; or
$\boxtimes$	(2)	after the period defined in (1) above but before the mailing date of any of a final action under 37 C.F.R. 1.113, a notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application, and
		the requisite Statement is below, OR
	$\boxtimes$	the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein; or

Second Supplemental Information Disclosure Statement Serial No.: 10/759,644 Page 2 of 2 after the period defined in (2) above but before the payment of the issue fee, AND (3) the requisite Statement is below, AND the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein. It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application. Respectfully submitted, Date: January 11, 2007

Reg. No. 51,551

Tel. No.: (617) 261-3198 Fax No.: (617) 261-3175 Fangli Chen, Ph.D.

Attorney for Applicant(s) Kirkpatrick & Lockhart Preston

Gates Ellis LLP

State Street Financial Center

One Lincoln Street

Boston, Massachusetts 02111-2950

BOS-1010052 v1

JAN 1.6 2007

**FORM PTO - 1449** 

# SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INL-083CP6C5

APPLICANT: Auerbach SERIAL NO.: 10/759,644

FILING DATE: January 16, 2004

						TILING	DAIL. J	anuary 10,	2004		
				U.S. P.	ATENT	DOCUM	ENTS				
EXAM. INIT.		DOCUMENT NUMBER	DATE		NAME			CLASS	SUB FILING DAT CLASS IF APPROPRIA		
			FO	REIG	N PATE	NT DOC	JMENTS				
EXAM. INIT.	·	DOCUMENT NUMBER	DATE	COD	INTRY DE	CLASS	SUB CLASS	FILING DATE	ABSTRA ONLY	ACT	ENGLISH LANG (Y/N)
	B20	427074	05/1991	EPO							Yes
	<u> </u>		ОТНЕВ	ART.	, JOUR	NAL ART	ICLES, E	TC.			
EXAM. INIT.	ОТНІ	ER DOCUMENTS	S: (Includin	g Auth	or, Title,	Date, Rele	vant Pages,	Place of Pu	blication)		
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BOS-1008749 v1

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EXAMINER	DATE CONSIDERED	